



## Upcoming NYSICA Meetings in Albany and New York City

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### Mission

The NYS Internal Control Association (NYSICA) is a Statewide Association of internal control officers, practitioners, auditors and others in the internal control community.

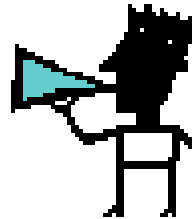
NYSICA advocates for strong internal controls and internal control training. NYSICA also serves as a liaison between its members and NYS Control agencies

### Board of Directors

**Carolyn Hapeman  
(President)**

NYS Division of the Lottery

## Fraud Awareness Presentation in Albany on September 24



Don't miss NYSICA's next membership meeting in Albany on Thursday, September 24 starting at 1:30 PM. The guest speaker will be Michael Smith, Corporate Integrity Officer for the State University Construction Fund.

As Corporate Integrity Officer, Michael Smith's duties include performing vendor responsibility reviews of all vendors entering into contracts with the Fund, conducting investigations of allegations of fraud or misconduct, and providing all fund employees with annual fraud prevention and ethics training.

Michael is an attorney and a retired FBI Agent. In 2005-2006 he was on the faculty at Utica College where he taught Economic Crime Investigation.

### Preregister for CPE Credits

All are welcome to attend and reservations are not needed. However, If you plan to attend and want CPE credits, [you may preregister for the CPE credits now](#) to get your CPE certificate at the presentation.

### Meeting Location

New York State Department of  
Correctional Services  
Training Academy  
1134 New Scotland Road  
Albany, New York 12208-1041

(518) 388-3415

**Mark Mitchell  
(President Elect)**

NYS Energy Research and  
Development Authority  
(518) 862-1090 ext 3289

**Steve Suriano  
(Past President)**

NYS Dept. of Public Service  
(518) 473-2079

**Jennifer Winters  
(Secretary)**

NYS Division of Military and  
Naval Affairs  
(518) 786-4673

**Randall Griffin  
(Treasurer)**

(518) 429-8052

**Bryan Braun  
(Vice-President)**

State University  
Construction Fund  
(518) 689-2525

**Diane Christensen  
(Vice-President)**

NYS Department of State  
(518) 474-1859

**Letitia Splain Dayer  
(Vice-President)**

NYS Dept of Transportation  
(518) 457-5959

**Laurel Jolliffe  
(Vice-President)**

Office of State Comptroller  
(518) 402-4100

**Cindy Knights  
(Vice-President)**

Office for the Prevention of  
Domestic Violence  
(518) 457-5844

**Sandra Schleicher  
(Vice-President)**

Office of Alcoholism and  
Substance Abuse Services  
(518) 485-1109

**NYS  
Internal Control  
Association**

(518) 489-9072

The meeting starts at 1:30 PM. However, please plan to arrive **about 15 minutes early** to accommodate the building security sign-in requirements.

[Follow this link for driving directions.](#)

## "Where to Begin an Internal Control Program" in NYC on September 30



The first meeting of the NYSICA Downstate Chapter is scheduled for Wednesday, September 30th at 10 a.m. The meeting will be held in New York City, at the Roosevelt Island Operating Corporation. Address and directions appear below.

Our featured presentation will be "Where to Begin with an Internal Control Program", presented by Jennifer Winters, Internal Control Officer for the Division of Military and Naval Affairs and NYSICA Board Secretary.

The meeting will also include remarks from NYSICA Board President Carolyn Hapeman and a discussion of downstate needs and goals led by NYSICA Board Immediate Past President Steve Suriano.

We hope to see all the downstate internal control and internal audit practitioners at the meeting.

### **Pre-register for CPE Credits!**

Reservations are not needed to attend the meeting. However, if you plan to attend and want CPE credits, [you may pre-register for the CPE credits now](#) to get your CPE certificate at the presentation.

### **Location and Directions**

The meeting will take place at the Roosevelt Island's Good Shepherd Community Center, a New York City landmark:

P.O. Box 2005  
Albany, NY 12220-0005

**www.nysica.com**

**Tim O'Toole  
(Webmaster)**

Office of Children & Family  
Services  
(518) 473-4486

**Kelly Gardineer  
(Web Site Associate)**

NYS Dept. of Motor Vehicle  
(518) 402-2177

**CPE Coordinator**

**Mary Kozlowski**

NYS Dept. of Motor Vehicle  
(518) 486-4089

**Newsletter**

**Steve Kozlowski**

NYS Dept. of Labor  
(518) 457-2938

**September 16, 2009**

**By Subway:** Take the 'F' train to the 'Roosevelt Island' stop which is the stop between Manhattan's 63rd Street & Lexington station and Queen's 21st Street/Queensbridge. Take Red Bus outside the subway to the stop in front of 546 Main Street. The Good Shepherd Community Center will be directly across from the bus stop.

**By Tram:** Take Red Bus in front of Tram to the stop in front of 546 Main Street. The Good Shepherd Community Center will be directly across from the bus stop.

**By Car:** Cross the Roosevelt Island Bridge off of Vernon Boulevard and 36th Avenue, in Long Island City, Queens. Parking is available at Motorgate located to the right after crossing the bridge.

### **Questions?**

Contact Sylvia Giralde at [SGiralde@rioc.com](mailto:SGiralde@rioc.com) or by phone at (212) 832-4540 ext 314.

## **CPE Process Improvement**



NYSICA unveiled its on-line CPE pre-registration form last month, with overwhelming success.

As noted earlier for upcoming presentations--If you are planning to attend the monthly meeting, use our new web form to register in advance for CPEs. While pre-registration is not required, we encourage you to take advantage of this option. Those who pre-register and sign in will receive their certificates at the end of the meeting.

If you have any questions or are looking for past certificates, feel free to contact our CPE coordinator, Mary Kozlowski, [mkozlowski2@dmv.state.ny.us](mailto:mkozlowski2@dmv.state.ny.us)

**October 27 NYSICA FALL Seminar**



## Save The Date: October 27!

NYSICA is now finalizing registration plans for the Fall CPE Seminar - your chance to earn up to 4 CPEs in a single morning.

Look for more information in the coming days regarding on-line registration for the October 27th event to be held at the Empire State Plaza. The cost for this unique CPE Seminar will be \$15.

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