



# NYSICA Meeting in Albany on December 3rd

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## Mission

The NYS Internal Control Association (NYSICA) is a Statewide Association of internal control officers, practitioners, auditors and others in the internal control community.

NYSICA advocates for strong internal controls and internal control training. NYSICA also serves as a liaison between its members and NYS Control agencies

## Board of Directors

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(President)**

NYS Division. of the Lottery  
(518) 388-3415

## Economic Recovery Act Presentation in Albany on December 3rd

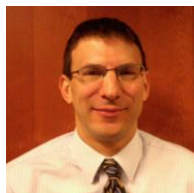


Don't miss NYSICA's next membership meeting in Albany on Thursday, December 3rd starting at 1:30 PM.

### **Topic: "The Economic Recovery Act and its Effect on Internal Controls and Transparency"**

Dan D'Angelo of the New York State Department of Transportation will provide an overview of the transportation funding components of the Economic Recovery Act, the new federal requirements and its impact on the agency. As NYSDOT's Recovery Act Manager, Dan will also cover the internal control challenges, the lasting benefits and future expectations.

Major changes to the agency to be discussed include public transparency, accountability, performance management, oversight and auditing. Specifically, the challenges of collecting data, data integrity and IT systems will be explored. In addition, the Department's experiences with aligning competing objectives, measuring performance and creating appropriate performance metrics will be shared.



The presentation will include a "live" demonstration of the Department's current efforts on transparency, oversight and performance metrics available to the public.

**Speaker: Daniel D' Angelo, NYS**

**Mark Mitchell  
(President Elect)**  
NYS Energy Research and  
Development Authority  
(518) 862-1090 ext 3289

**Steve Suriano  
(Past President)**  
NYS Dept. of Public Service  
(518) 473-2079

**Jennifer Winters  
(Secretary)**  
NYS Division of Military and  
Naval Affairs  
(518) 786-4673

**Bryan Braun  
(Vice-President)**  
State University  
Construction Fund  
(518) 689-2525

**Diane Christensen  
(Vice-President)**  
NYS Department of State  
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**Letitia Splain Dayer  
(Vice-President)**  
NYS Dept of Transportation  
(518) 457-5959

**Laurel Jolliffe  
(Vice-President)**  
Office of State Comptroller  
(518) 402-4100

**Cindy Knights  
(Vice-President)**  
Office for the Prevention of  
Domestic Violence  
(518) 457-5844

**Sandra Schleicher  
(Vice-President)**  
Office of Alcoholism and  
Substance Abuse Services  
(518) 485-1109

**NYS  
Internal Control  
Association**  
P.O. Box 2005  
Albany, NY 12220-0005

**www.nysica.com**  
**Tim O'Toole  
(Webmaster)**

### **Department of Transportation Recovery Act Manager**

As Recovery Act Manager, Mr. D'Angelo leads the agency activities necessary to deliver \$1,120 million in highway and bridge funds, \$26 million in non-urban transit funds and grant applications for \$600 million in high-speed rail projects and \$576 million in TIGER grant applications.

In addition to project delivery, Mr. D'Angelo's responsibilities include federal reporting, transparency and performance management.

Full Presenter Bio can be viewed through the link below:  
[\*\*Dan D' Angelo Bio\*\*](#)

Mr. D'Angelo was scheduled to give this presentation at the recent NYSICA Conference but could not do so due to time constraints.

### **Preregister for CPE Credits**

All are welcome to attend and reservations are not needed. However, If you plan to attend and want CPE credits, preregister for the CPE credits now to get your CPE certificate at the presentation.

[\*\*Preregister for CPE Credits Here\*\*](#)

### **Meeting Location:**

New York State Police Academy  
1220 Washington Ave # 24  
Albany, NY 12226-2450  
(518) 457-7254

The NYS Police Academy is located in Building 24 of the Averell Harriman State Office Building Campus. Parking is available out in front of the Academy immediately to your right upon entering the driveway and once full, to the rear of the building.

The meeting starts at 1:30 PM. However, please plan to arrive about 15 minutes early to accommodate the building security sign-in requirements.

## **ARRA Fraud Prevention Conference on December 8th Open to All**



A fraud awareness and prevention conference sponsored by the Governor's Economic Recovery and Reinvestment Cabinet is taking place on Tuesday, December 8th. Attendance is free and open to all. It may be of special interest

Office of Children & Family  
Services  
(518) 473-4486

**Kelly Gardineer**  
**(Web Site Associate)**  
NYS Dept. of Motor Vehicle  
(518) 402-2177

### **CPE Coordinator**

**Mary Kozlowski**  
NYS Dept. of Motor Vehicle  
(518) 486-4089

**Newsletter**  
**Steve Kozlowski**  
NYS Dept. of Labor  
(518) 457-2938

**November 27, 2009**

audit, procurement and investigation. CPE's will be available.

The Conference will be held at the NYS Department of Transportation at 50 Wolf Rd. Albany. There are a limited number of spaces available at the conference site. However, the presentations will also be available through a webinar for viewing at your work site.

### **Conference Schedule**

#### **9:00 AM - 11:15 AM**

#### **Prevent Fraud, Waste and Abuse by Determining Your Vendor is Responsible**

To ensure that New York State taxpayers and businesses receive the maximum benefit from Federal Stimulus funding, it is imperative that contractors and grantees are both fully able to perform contractual obligations and demonstrate the integrity that warrants an award of public dollars.

Learn to tailor vendor responsibility reviews to utilize information resources that are most relevant for stimulus projects including maximizing the power of the New York State VendRep System.

#### **Presenters:**

Irene Waldorf, Bureau of Contracts  
Carol O'Connor, Bureau of Contracts  
The New York State Office of the State Comptroller

#### **11:15 AM - 12:15 PM**

#### **Anti-Trust Violations and Collusion**

The presenter will describe the criminal enforcement program of the Antitrust Division including what constitutes a criminal violation of the antitrust laws, the enforcement techniques used to uncover violations, and how to detect what may be collusive activity by bidders.

#### **Presenter:**

Melvin Lublinsky - USDOJ, Antitrust Division- New York Office

#### **1:15 PM - 2:15 PM**

#### **Fraud Awareness in Capital Construction**

This presentation will deal with fraud awareness, especially in the context of capital construction and procurement; a topic of particular relevance to Recovery Act funded projects. The presentation is intended to highlight awareness of the

potential for fraud, the need to exercise vigilance to prevent and detect fraud in its many forms, and the importance of timely reporting of suspicious events.

The presenters will speak about various types of schemes and fraud indicators.

**Presenters:**

Ned Schwartz, Special Agent in Charge

Douglas Shoemaker, Assistant Special Agent in Charge  
USDOT OIG, New York Office

**2:30 PM - 3:30 PM**

**Finding Fraud And What to Do With It**

Organizations involved in transportation construction, both public and private, can take proactive steps to mitigate the risk of fraudulent activity on their projects. This session will discuss suggested steps such organizations can consider to better deter and detect fraudulent activity, and what they need to do when fraud is detected or suspected to ensure appropriate follow-up and investigation.

**Presenter:**

Edward Dominelli, MPA, CFE, Senior Consultant - BST  
Valuation and Litigation Investigators

**3:30 PM - 4:30 PM**

**Common Fraud Schemes - Identifying Red Flags of Fraud**

Through the American Recovery and Reinvestment Act, New York State is expected to receive at least \$26.7 billion. With this increase in funding comes an increase in the opportunity for fraud.

Based on industry standards, \$1.9 billion could be lost to fraud, waste or abuse. You can play a role in safeguarding these assets by learning how to identify red flags of fraud. Through the use of real-life examples, this session will enhance your ability to recognize red flags related to fraudulent billing, bid rigging, bribery and corruption.

**Presenters:**

Bernard J. McHugh - Bureau Director, NYS Office of the State Comptroller

U.S. DOT | OIG | NEW YORK OFFICE | 110 NASSAU ST. | 10038

Comptroller

Roslyn Watrobski, CFE, CIA, CGAP - NYS Office of the State Comptroller

**Presenter Bios can be viewed through the link below**  
[Schedule and Presenter Bios](#)

**Register Now for ARRA Fraud Conference**



E-mail [debra.carpenter@labor.state.ny.us](mailto:debra.carpenter@labor.state.ny.us) with the following information:

- Name of Attendee
- Agency
- Program Area (Investigation, Audit, Internal Control, Procurement etc.)
- Attendee's Job Title
- Attendee's E-mail Address
- Attendee's Phone Number including Area Code
- If attending by webinar or in person
- If requesting CPE credits

Attendees are welcome to attend the entire conference or specific presentations. If requesting CPEs, you will be asked to certify by OSC that you have fully attended the sessions for which you are requesting the CPEs.

If attending by webinar at your work location, we encourage group attendance for efficiency in cost of phone lines utilized.

Following your registration, you will be sent additional information for attendance prior to the conference.

**All attendees must register even if attending a group session.**

The registration is due by the close of business **December 4th.**

If you have any questions, please call (518) 457-1323.

**Association of Government Accountants**

## Program Guide



The Association of Government Accountants (AGA) New York Capital Chapter has recently released the 2009-10 Continuing Professional Education Program Guide.

In the guide you will find an abundant assortment of the Chapter's offerings including:

- Educational Programs
- Membership Functions
- Community Service Events, and
- Networking Opportunities

The AGA Education Committee worked through the summer to design an exceptional and diverse training program in the tradition of being worthy of the AGA National Award the Chapter received the past two years.

Please view the AGA Program Guide at the link below

[AGA Program Guide](#)

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